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Lindsay G. McGuinness Senior Counsel RECEIVED

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February 14, 2002

**Technology Center 2600** 

**Assistant Commissioner** 

for Patents ·

Washington, D.C. 20231-9999

Re:

U. S. Utility Patent Application Serial No. 09/930,095

Docket No. 14985BAUS01U

Entitled: SYSTEM, DEVICE, AND METHOD FOR MANAGING CONNECTION

QUALITY IN AN OPTICAL COMMUNICATION SYSTEM

Applicant: Indermohan S. Monga

Dear Sir:

Enclosed for submission is an Information Disclosure Statement and Form PTO-1449, including three (3) references, pursuant to 37 C.F.R. § 1.56.

Kindly acknowledge receipt of the foregoing by returning the enclosed selfaddressed postcard.

Sincerely,

Lindsay G. McGuinness

Reg. No. 38,549

LGM:cmm

Enclosures: ➤Information Disclosure Statement

>PTO-1449

➤Three (3) References

**>**Postcard

ATTORNEY DOCKET NO. 14985BAUS01U

## NITED STATE PATENT AND TRADEMARK OFFICE

In re: Indermohan S. Monga Application No.: 09/930,095

Group Art Unit: 2633 Examiner: Unassigned

Filed: August 15, 2001 For:

SYSTEM, DEVICE, AND METHOD FOR MANAGING CONNECTION QUALITY IN AN

OPTICAL COMMUNICATION SYSTEM

**Assistant Commissioner for Patents** Washington, D.C. 20231

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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT WITHIN THREE MONTHS OF FILING OR BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.B. 1.97(b))

Technology Center 2600

In compliance with the duty of disclosure under 37 C.F.R. §1.56, and in accordance with the practice under 37 C.F.R. §1.97 and §1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO-1449. Copies of the listed information are also enclosed.

The documents listed in this Information Disclosure Statement were first cited in a PCT International Search Report in a counterpart application and this Information Disclosure Statement is being filed within three months of the mailing date of that Report.

As this Information Disclosure Statement is being submitted prior to a First Office Action, there is no fee due. However, the Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account 50-1940.

It is respectfully requested that the above information be considered by the Examiner and that a copy of the enclosed Form PTO-1449 be returned indicating that such information has been considered.

Respectfully submitted,

ndsav G. McGuinness Registration No. 38,549 Attorney for Applicants

Customer No. 28901 Telephone: 978/288-6304 Facsimile: 978/288-6543

CERTIFICATE OF MAILING

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	Christine Morrissette		

Date of Signature: \_\_\_2/14/2002\_

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PTO/SB/08A (10-01)
Approved for use through 10/31/2002. OMB 0651-0031
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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

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Compl te if Known					
09/930 095					
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		U.S. PATE	ENT DOCUMENTS	
Examiner Initials	Cite No. 1 Number - Kind Code <sup>2</sup> (if known	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	US- 5.905.715	05/18/1999	Azarmi et al.	
	US- 5,903,370	05/11/1999	Johnson	
	US- 5,774,669	06/30/1998	George et al.	
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FOREIGN PATENT DOCUMENTS							
Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code 3 - Number 4 - Kind Code 4 (# known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T6	
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Examiner		Date	
Signature		Considered	

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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to piace a check mark here if English leaves of Translation is estimated. English language Translation is attached.